



Towards Ecosystem-Based Management of the Humboldt Current Large Marine Ecosystem

TERMS OF REFERENCE

Title: Consultancy to deliver a thematic report on Fish and Fisheries based on the Large Marine Ecosystem (LME) modular assessments as inputs for the Humboldt Current LME (HCLME) Project Causal Chain Analysis (CCA) revision, and updating of the existing Transzonal Diagnostic Analysis (TDA) 2003

Project: HCLME 4147
Case reference: LME-5-Chile-Nov-2012-Mod2
Duty station: Chile
Section/Unit: EMO IWC
Contract/Level: Company/University/NGO Request for Quotation
Duration: November 2012 to March 2013 (up to 30 day input during this period)
Supervisor: Michael J. Akester, Regional Project Coordinator MichaelA@unops.org
In collaboration with the Senior Project officer MarianoG@unops.org

1. General Background

The Humboldt Current supports one of the world's most productive Large Marine Ecosystems (LMEs), representing approximately 18-20% of the global fish catch and hosting globally significant biodiversity. High environmental variability in the Humboldt Current Large Marine Ecosystem (HCLME) has significant impacts on ecosystem productivity, carrying capacity and trophic structure. Besides climate change, regime shifts, global warming, ocean acidification, species invasion and even overfishing are challenging natural species to adapt in a cascade to accelerated global effects.

In addition, a range of local anthropogenic activities are exerting pressure on this unique ecosystem. In order to provide for long-term ecosystem resilience, Chile and Peru propose to advance towards ecosystem-based management (EBM) of the HCLME by formulating a strategic long-term planning framework for the identification and prioritization of actions needed to preserve and maintain HCLME ecosystem benefits and services through endorsement of a Strategic Action Programme (SAP).

The process to obtain approval of the SAP to the highest level in both countries involves a Transzonal Diagnostic Analysis (TDA) along the length of the HCLME. To carry out the analysis, the project requires the existing TDA document to be updated via a series of five thematic studies following the LME modular assessment (Fig.1).



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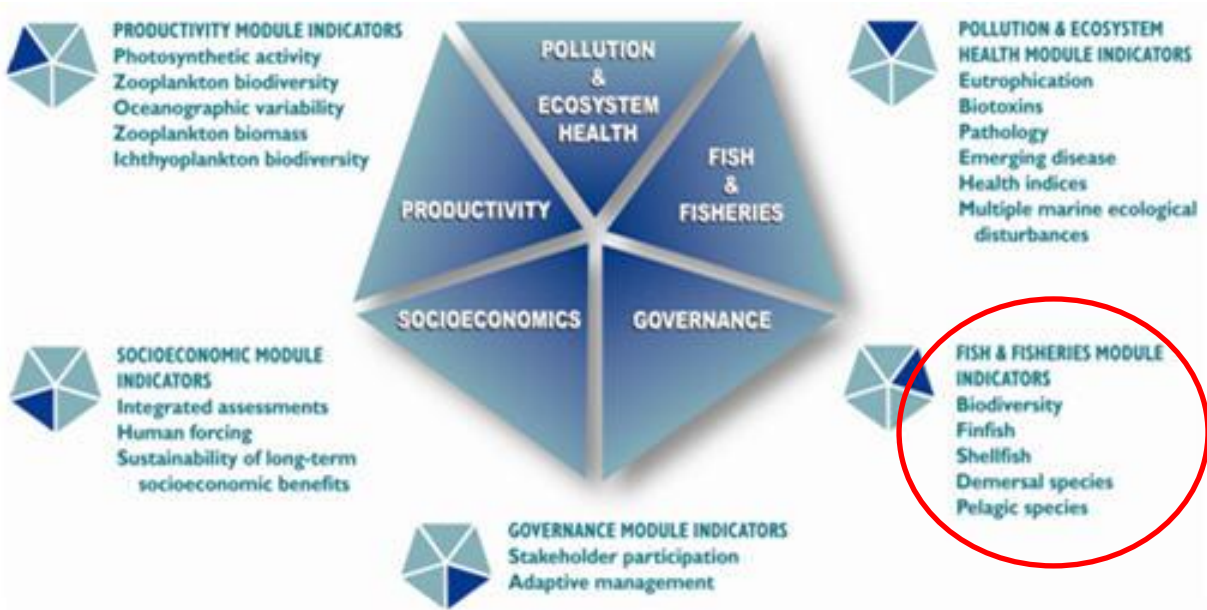


Figure 1 Large Marine Ecosystem (LME) modular assessments for sustainable development

The initial TDA for the HCLME Region developed during Global Environment Facility (GEF) Project Development Phase (PDF) (2002-2003), identified and analysed the priority transzonal problems in the Humboldt Current System. The analysis included a preliminary Causal Chain Analysis (CCA) and identification of underlying and root causes as well as a first identification of the information gaps. The four priority transzonal problems that affect the HCLME identified in 2003 were: 1) suboptimal exploitation of fish and other living resources, 2) insufficient knowledge re the LME variability, 3) habitat degradation, and 4) biodiversity reduction linked to fisheries pressure.

2. Justification

During the HCLME Transzonal Diagnostic Analysis (TDA) and Strategic Action Programme (SAP) Training Workshop held in Lima, 10-14 September 2012, it was agreed that the LME modular approach would be followed as outlined in the Project Document (Annex A) and that a consultant team will be hired to elaborate the five thematic studies in each country to be presented at the Causal Chain Analysis (CCA) workshops to be held in Chile in March or April 2013.

The CCA statements to be produced at the workshop in 2013 workshop will review the Global International Waters Assessment (GIWA)¹ CCA results from 2006. The results will be incorporated into a final updated TDA as the technical input to the SAP.

¹ <http://www.unep.org/dewa/giwa/methodology/methodology.asp>



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The goal of the HCLME project is to ***advance towards a sustainably used and resilient HCLME that can maintain biological integrity and diversity and ecosystem services for current and future generations despite changing climatic and social pressures.***

3. General Arrangements

The selected company/university/NGO under the supervision of the HCLME Project Regional Project Coordinator (RPC) with the assistance of the Senior Project Officer (SPO), will review the existing TDA from 2003 as well as other relevant available information and proceed with the drafting of the Fish and Fisheries module thematic report for Chile following the modules identified in the LME modular approach shown in figure 1 above.

4. Specific Duties

Objective: To update the Fish and Fisheries Module in the existing Transzonal Diagnostic Analysis (TDA) document (approved in 2003) as an input to a new TDA identifying the problems faced by the HCLME

The selected company/university/NGO will:

- a. Review information prepared during the HCLME Project Development Phase (PDF-B) including the previous Fish and Fisheries thematic report (October 2002) and the preliminary Transzonal Diagnostic Analysis (TDA) approved in 2003;
- b. Review information from other sources relevant to updating and reformulating the Fish and Fisheries module as an input to the new TDA;
- c. Validate the CCA statements that were prepared by the previous TDA Technical Task Team (TTT) as outlined in the original TDA document from 2003 and the GIWA document from May 2006 (Annex A);
- d. Prepare and submit a report (using the table of contents structure identified in Annex B) describing the major Fish and Fisheries including:
 - Biodiversity and abundance by main trophic levels and groups
 - Finfish coastal fisheries
 - Shellfish coastal fisheries
 - Demersal fisheries
 - Pelagic fisheries
 - Management options currently in use including Marine Protected Areas, No-Take Areas, Seabed Resource Management and fishery certification options



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in terms of both sustainable resource use and value addition aspects

- e. Liaise on a weekly basis with supervisors and other thematic study authors to ensure compatibility of terminology;
- f. Attend a CCA workshop in March or April 2013 and present thematic study findings; and
- g. Prepare and submit a final report incorporating comments received at the three day CCA workshop.

5. Expected Outputs

1. Report in MS Word (in English and Spanish) to be delivered by e-mail to Michael Akester MichaelA@unops.org Maria Angela Barbieri angela.barbieri@ifop.cl cc Mariano Gutiérrez MarianoG@unops.org and Lenka LAZO LenkaL@unops.org
2. Power Point presentation files (English & Spanish) delivered at the March / April 2013 CCA workshop and sent by e-mail prior to the event outlining suggested changes to the Fish and Fisheries report dated October 2002 and current important aspects identified in 2012-3 for the development of the new TDA
3. Active participation on the day of presenting the study at the CCA workshop to be held in March or April in Chile.

6. Inputs

The Regional Coordinating Unit (RCU) will provide the consultant with the documents listed in Annex A and provide the necessary support, if necessary, to contact government representatives or regional organizations in the search for relevant information.

7. Specific deliverables, reporting, timing and payment modality (% payment available).

- a. Selected company/university/NGO should review relevant information in the Fish and Fisheries module prepared during the PDF-B phase of the HCLME project with emphasis on the preliminary Transzonal Diagnostic Analysis (TDA) developed for Humboldt Current System in 2003 and brief the Regional Coordination Unit team by **January 2013 (15%)**
- b. Selected company/university/NGO should submit a draft report and Power Point presentation files to the RCU and Project focal point (IFOP-Chile) by **mid-March 2013 (15%)**.
- c. Selected company/university/NGO should attend the CCA workshop in Chile **March / April 2013** and present his/her Fish and Fisheries Module assessment (20%).



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- d. Selected company/university/NGO should prepare and submit a final report including comments made at the March / April workshop to the RCU and Project focal point (IFOP-Chile) **by the end of April 2013** (50%).

8. Requirements

Interested selected company/university/NGO should have staff with the following qualifications and experience which are to be listed on the UN P.11 form (sections 1-14, 16, 22, 24 (university only), 25, 26 (10 most relevant publications only) and 27 (last 3 only):

- a. University degree (Bachelor, Master or Doctorate) in a relevant subject;
- b. Demonstrable experience (>5 years) in the subject and work area (HCLME);
- c. Proficiency in both Spanish and English languages;
- d. Excellent report writing skills; and
- e. The UN P.11 form must be completed (see item 10) and sent with the proposal

9. Evaluation

There will be no final evaluation of this consultancy other than the quality assessment of reports submitted.

9.1 Copyright:

The GEF-Humboldt project will be the owner of the information generated and will cite the author as and when the information is published

10. Budget

Interested companies/universities/NGOs will prepare and submit a technical and financial proposal and a completed P.11 form (NB only complete the following areas on the P11 form: 1-14; 16; 22; 24 (Universities only); 25; 26 (up to 10 most relevant publications); and 27 (last 3 employers only) for each participating expert (the budget should provide an estimate based on an input of up to 30 days per study, any local travel if necessary and the attendance of a CCA workshop in in Santiago or Valparaiso Chile in March or April 2013) to the Regional Project Coordinator Michael J. Akester MichaelA@unops.org cc LenkaL@unops.org by November 30th 2012.

P.11 form can be downloaded from: <http://www.pnud.cl/vacantes/index.asp>



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Annex A: List of documents provided by HCLME Project

1. HCLME Executive Summary Document <http://humboldt.iwlearn.org/informacion-y-publicacion/ResumenProyectoHCLMEVolpdf.pdf>
2. HCLME PDF-B TDA thematic documents Chile & Peru
<http://humboldt.iwlearn.org/informacion-y-publicacion/pagina-de-documentos-del-proyecto>
3. HCLME PDF-B TDA document May 2003
<http://humboldt.iwlearn.org/informacion-y-publicacion/TDAHumboldt.pdf>
4. TDA-SAP manual: <http://manuals.iwlearn.net/tda-sap-methodology/tda-sap-methodology-24-october> and <http://manuals.iwlearn.net/> and <http://manuals.iwlearn.net/tda-sap-methodology>
5. GIWA manual in English /Spanish and Humboldt Assessment
<http://humboldt.iwlearn.org/informacion-y-publicacion/pagina-de-publicaciones-relacionadas>
7. Study of the Concept of Large Marine Ecosystems and Institutional relevance for Ecosystem-based Management and Development
<http://iwlearn.net/publications/II/study-of-the-concept-of-large-marine-ecosystems-and-its-institutional-relevance-for-ecosystem-based-management-and-development/view>
8. Documents of relevance held in the HCLME database



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Annex B: Table of Contents for thematic report

1. EXECUTIVE SUMMARY
2. TABLE OF CONTENTS WITH LISTS OF TABLES AND FIGURES
3. INTRODUCTION
 - 3.1 Context
 - 3.2 The Humboldt Current LME
 - 3.3 The HCLME Project
 - 3.4 Objectives of the Thematic Report
4. A SENSE OF PLACE
 - 4.1 Geographical scope
 - 4.2 Characteristics of the HCLME
 - 4.3 Climatic Features and Climate Change
 - 4.4 Natural Resources
 - 4.5 Unique Ecological Communities and Protected Areas
 - 4.6 Human resources
 - 4.7 Institutional and Legal Aspects
5. PROBLEMS RELATING TO FISH AND FISHERIES INDICATORS
 - 5.1 Introduction to the priority I problems
 - 5.2 Biodiversity and abundance by main trophic levels and groups
 - 5.3 Finfish coastal fisheries
 - 5.4 Shellfish coastal fisheries
 - 5.5 Demersal fisheries
 - 5.6 Pelagic fisheries
 - 5.7 Management options
6. COMMON SHARED PROBLEMS RELATING TO FISH AND FISHERIES INDICATORS
 - 6.1 Introduction to the priority Common Shared Problems
7. GOVERNANCE ANALYSIS RELATING TO FISH AND FISHERIES INDICATORS
 - 7.1 Introduction
 - 7.2 Political and decision making arrangements
 - 7.3 Economic arrangements
 - 7.4 Civil society arrangements
 - 7.5 Transzonal and international cooperation
8. LEVERAGE POINTS
9. CONCLUSIONS AND RECOMMENDATIONS



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Annex C WORK PLAN AND SCHEDULE OF DELIVERABLES BY THE COMPANIES/UNIVERSITIES/NGOs CONDUCTING THE FISH AND FISHERIES MODULE FOR THE HCLME REGION CHILE.

OUTPUT	2012	2013				Comments
	Dec	Jan	Feb	Mar/Apr		
Contract negotiation and signing						Agree work plan for the 30 day period with RCU and Project focal point (IFOP-Chile)
Review information prepared during the HCLME Project Development Phase (PDF-B) including the previous Fish and Fisheries thematic report (October 2002) and the preliminary Transzonal Diagnostic Analysis (TDA) approved in 2003;						At the end of this analysis report findings to TDA-SAP working group
Review information from other sources relevant to updating and reformulating the Fish and Fisheries module as an input to the new TDA						Progress reports will be presented to the RCU on a weekly basis and Project focal point (IFOP-Chile).
Validate the CCA statements that were prepared by the previous TDA Technical Task Team (TTT) as outlined in the original TDA document from 2003 and the GIWA document from May 2006 (Annex A)						To be presented to the TDA-SAP working group by e-mail for comment.
Prepare and submit a report (using the table of contents structure identified in Annex B) describing the major Fish and Fisheries including: <ul style="list-style-type: none"> • Biodiversity and abundance by main trophic levels and groups • Finfish coastal fisheries • Shellfish coastal fisheries • Demersal fisheries • Pelagic fisheries • Management options currently in use including Marine Protected Areas, No-Take Areas, Seabed Resource Management and fishery certification options in terms of both sustainable resource use and value addition aspects 						To be presented to the TDA-SAP working group by e-mail for comment.
Attend a CCA workshop in March / April 2013 and present thematic study findings on the day identified for this activity						To be presented to the TDA-SAP working group by e-mail for comment. A PowerPoint presentation will be made in Spanish
Prepare and submit a final report incorporating comments received at the three day CCA workshop.						Final report will be presented in Spanish and English by the end of April 2013

Project Authority (Name/Title): Michael J. Akester	Contract holder (Name/Title):
RPC	
Signature	Signature
Date	Date